

Budget Issues



BUDGET ISSUES

For the budget issues discussed below, the County Executive Office (CEO) evaluated the justification provided by departments and based recommended action on the following policy:

- Departments are expected to absorb most changes within existing appropriations.
- Departments should recognize unanticipated revenues where possible to help absorb other changes.
- CEO may recommend an appropriation transfer to a General Fund agency from elsewhere in the General Fund as a last resort.
- All departments requesting a new position shall delete an offsetting long-term (12+ months) vacant position.

Fund Balance Available (FBA) Adjustments

Each year, the Board adopts a final budget prior to June 30 before final fund balances are known. During budget development, estimated fund balances are used to balance funds. Actual fund balances are now known and all funds with final fund balances varying from the estimated fund balances used in the adopted budget require an adjustment. In most cases, funds with actual fund balances lower than estimated are being adjusted downward to reflect actual available financing, while funds with actual fund balances higher than estimated are being adjusted upward to reflect the additional available financing. These adjustments are displayed in the *Recommended Actions* section.

On September 11, 2007, the CEO reported to the Board that the actual June 30, 2007 General Fund Balance Available (FBA) was \$29,616,876 million above the budgeted amount. The CEO recommends the \$29.6 million be allocated as follows:

- \$2,500,000 for Emergency Medical Services
- \$2,000,000 for SB159 Hospital Reimbursement Rates
- \$5,316,876 for Capital Projects
- \$2,000,000 for Juvenile Justice Reform Matching Funds
- \$1,300,000 for Rebudget of Information Technology Projects
- \$1,200,000 for E-Government Projects
- \$2,700,000 to Restore unused CAPS (Countywide Accounting and Personnel System) Reserve
- \$3,500,000 for CAPS Human Resources
- \$3,000,000 for ATS (Assessment Tax System) Reserve for Clerk of the Board, Treasurer-Tax Collector and Auditor-Controller component
- \$4,500,000 for February 2008 Primary Cost
- \$1,600,000 for Court Ordered Payment (pending Counsel review)

Re-Visit Unfunded FY 07-08 Budget Augmentations

As directed by the Board during the FY 07-08 Budget Hearings, the County Budget Office has reviewed those augmentations not previously approved for inclusion in the FY 07-08 Budget to determine if funding these augmentations from Fund Balance Available is now warranted. Due to the current status of the economy and uncertainty related to the County's General Purpose Revenues, only two augmentations are recommended for funding at this time. It is recommended that \$2.5 million for Emergency Medical Services be funded from the \$29.6 million General Fund Balance Available. In addition, one Legal Services Assistant position for County Counsel is recommended. The current year cost of the Legal Services Assistant, \$45,106, is offset by cost apply from Public Administrator/Public Guardian Agency 029. The County's 2007 Strategic Financial Plan process is currently underway and the status of the County's financial status will be better known in December when the plan is brought to the Board for approval.

Grant Survey Results

The attached grant survey report, completed by County agencies and departments covers the period July 1 through September 30, 2007. Agencies/departments were asked to report on any grant activities during this period, including grant applications in process, grants applied for, grants awarded, receipts on awards and grant applications denied.

Summary of County Grant Activities

- Of the 24 County agencies/departments, 10 reported having no grant activity during the First Quarter of FY 2007-08.
- During the reporting period, 14 County agencies/departments received advances or reimbursements of \$20.9 million on grant awards totaling \$253.6 million in grant funds, and a total of \$27.9 million still pending notification. Please note that these amounts include a number of grants awarded over multiple years as expenditures occur and claims are submitted for approval. (See specific department details on attached survey results).
- Agencies/departments reported a Countywide total of 14 positions responsible for grant related activities, with an estimated staffing and services & supplies cost of \$1.0 million. These figures include departments that have identified specific staff dedicated to grant responsibilities; however, other departments only assign staff to the function as necessary.
- Resources being used to locate and apply for grants vary among departments but include eCivis Grant locator service, internet grant web pages, and professional association & governmental publications/notices.

Program I – Public Protection

District Attorney (Agency 026)

- Increase appropriations by \$662,791 offset by revenue from the State Department of Insurance Healthcare and Disability Program for addition of 11 limited-term (through June 30, 2008) positions (three Deputy District Attorney IVs, three District Attorney Investigators, two Attorney Clerk IIs, two Investigative Assistants and one Paralegal) for the Economic Crimes unit. The positions are required to continue to build on the success of this program.
- Increase appropriations by \$73,414 offset by \$39,820 in State Department of Insurance Workers' Comp Insurance Fraud Program revenues and a \$33,594 operating transfer in from Narcotic Forfeiture and Seizure Fund 116 for addition of two positions to the Finance Services Unit. The two positions, one Senior Accountant/Auditor I and one Accounting Technician are required to monitor Federal forfeiture revenues and expenditures and distribution of asset forfeitures, and grant accounting of the Healthcare and Disability, Auto, and Workers' Compensation fraud programs.
- Increase appropriations by \$449,023 offset by a one-time operating transfer in from Public Safety Sales Tax (PSST) Fund 14B to cover construction costs performed by the Lessor for the first floor office space at 900 North Broadway, Santa Ana where the Public Assistance Fraud Investigation Team is located. The lease agreement related to this request was approved by the Board on June 5, 2007.
- Increase appropriations by \$150,000 offset by a one-time operating transfer in from PSST Fund 14B for the purchase of a 600 Kilowatt emergency generator to serve as a backup power supply.
- Increase appropriations by \$52,395 offset by revenue from the State for the purchase of specialized equipment and investigator training related to identity theft crimes.

Grand Jury (Agency 041)

Delete one Administrative Assistant I and one Supervisor Court Manager. The position incumbents were transferred to the Court in accordance with AB 233, which transferred control of the Court from the County to the State. The cost of the positions will be cost applied back to Agency 041.

Juvenile Justice Commission (Agency 045)

Delete one Administrative Analyst/Officer II and one Office Specialist. The position incumbents were transferred to the Court in accordance with AB 233, which transferred control of the Court from the County to the State. The cost of the positions will be cost applied back to Agency 045.

Sheriff Court Operations (Agency 047)

Increase appropriations by \$88,516 offset by Trial Court Funding for the addition of one limited-term (through 6/30/08) Deputy Sheriff I position to provide courtroom security for a newly authorized judge at the Lamoreaux Justice Center.

Detention Release (Agency 048)

Delete eight Detention Release Officers, six Senior Detention Release Officers, one Supervising Detention Release Officer, and one Office Specialist. The position incumbents were transferred to the Court in accordance with AB 233, which transferred control of the Court from the County to the State. The cost of the positions will be cost applied back to Agency 048.

Sheriff Communications (Agency 055)

Increase the equipment budget by \$31,000 offset by a reduction to the Services & Supplies budget for the purchase of an indoor fall protection system for the vehicle service bays.

Probation (Agency 057)

Increase appropriations by \$1,539,093 offset by revenue from the Youthful Offender Block Grant for development and implementation of the Juvenile Justice Development Plan for non-707(b) (other than a specified sex offense) juvenile offenders. On September 1, 2007, the Department of Juvenile Justice stopped accepting wards committed for non-707(b) offenses; thus, the County is now responsible for the housing and probation of these wards.

Sheriff-Coroner (Agency 060)

- Increase appropriations by \$2,935,547 offset by revenue from contract cities as described below:
 - the contract city San Clemente (\$567,524) for addition of one limited-term (through 6/30/08) Investigator position and the increased cost of salaries, benefits and services and supplies for existing contract positions;
 - the contract city of Mission Viejo (\$361,172) for addition of two limited-term (through 6/30/08) Deputy Sheriff II positions and the increased cost of salaries, benefits and services and supplies for existing contract positions;
 - the contract city of Lake Forest (\$369,811) for addition of one limited-term (through 6/30/08) Sheriff's Community Service Officer position and the increased cost of salaries, benefits and services and supplies for existing contract positions;
 - the contract city of Stanton (\$288,264) for addition of one limited-term (through 6/30/08) Investigative Assistant position and the increased cost of salaries, benefits and services and supplies for existing contract positions;
 - the contract city of Rancho Santa Margarita (\$842,725) for addition of three limited-term (through 6/30/08) Deputy Sheriff II positions and one limited-term (through 6/30/08) Emergency Management Program Coordinator position, and the increased cost of salaries, benefits and services and supplies for existing contract positions. The Emergency Management Program Coordinator position will be shared fifty-fifty with the city of Aliso Viejo.
 - a \$17,240 decrease in appropriations and revenue from the city of Aliso Viejo; although the city is adding a part-time Emergency Management Program Coordinator position (full-time position shared with the city of Rancho Santa

- Margarita), there was a decrease in the cost of salaries, benefits and services and supplies for existing contract positions;
- various other contract cities/entities (\$523,291) to reconcile to final levels of service required by those cities and included in the final contracts.
 - Increase appropriations by \$4,422,888 offset by revenue from the grants described below:
 - FY 05 Buffer Zone Protection Grant (\$412,230) for cost of equipment to enhance protection of identified critical infrastructure and key resources;
 - 2005 (\$6,681) and 2006 (\$52,823) Coverdell Grants for forensic science laboratory staff education and training;
 - 2005 (\$454,012) and 2006 (\$2,411,270) Homeland Security Grants for purchase of equipment needed in incidents of terrorism and weapons of mass destruction;
 - 2005 (\$42,051) and 2006 (\$199,767) DNA Capacity Enhancement Program Grant for purchase of instruments, computers, software and supplies to increase efficiency of the forensic laboratory;
 - DNA Expansion Demonstration Program (\$179,080) for current staffing and DNA laboratory testing supplies;
 - Solving Cold Cases with DNA Grant (\$81,292) for overtime for two existing Forensic Scientists to focus on unsolved homicide cases in Orange County;
 - 2007 Forensic DNA Backlog Reduction Program Grant (\$397,427) for overtime, to reduce backlogs, training and the purchase of equipment; and
 - California Multi-Jurisdictional Methamphetamine Enforcement Team Grant (\$186,255) for establishing a Highway Interdiction Team.
 - Increase appropriations by \$103,900 offset by revenue from POST (Peace Officer Standards and Training) to provide coroner training courses.
 - Increase appropriations by \$79,271 offset by revenue from the agreement between the Federal Bureau of Investigations (FBI) and the Joint Terrorism Task Force (JTTF) for overtime cost associated with the officers assigned to Orange County's JTTF.
 - Adjust operating transfers for Agency 060 and Public Safety Sales Tax (PSST) Fund 14B by \$26,000 to correct the inadvertent duplicate budgeting of a vehicle for the Coroner Division. This vehicle was not duplicate budgeted in Transportation Fund 296 so an adjustment to Fund 296 is not required.
 - Establish a \$42,730 operating transfer out to Fund 13R Sheriff-Coroner Replacement and Maintenance Fund to recognize unanticipated revenue from the sale of a helicopter camera. This transfer is required as new equipment will be purchased from Fund 13R.
 - Decrease \$150,000 operating transfer in from PSST Fund 14B and increase operating transfer in from Sheriff-Coroner Construction and Facility Development Fund 14Q by \$150,000 to correctly reflect the funding source for a project at the Theo Lacy Branch Jail.
 - Transfer one Communications Technician II position and associated appropriations and cost apply of \$38,255 to Sheriff Communications Agency 055 to reconcile the location of the position with the incumbent's permanent assignment.

Meth Lab Investigation Team (Fund 103)

Increase appropriations by \$406,796 offset by revenue from the FY 07-08 Anti-Drug Abuse Grant (\$378,265) and the Byrne Memorial Justice Assistance Grant (\$28,531) to reconcile to final grant amounts awarded. Increase operating transfer out to District Attorney Agency 026 by \$42,850 and Probation Agency 057 by \$10,000 to reconcile to the final amounts. These funds will be used to combat illegal drug use and for a broad range of activities to prevent and control crime.

Narcotic Forfeiture and Seizure (Fund 116)

Increase appropriations by \$1,109,920 offset by a decrease to reserves for purchase of equipment and supplies to support prosecution and investigation of criminal cases in accordance with the U.S. Department of Justice's established guidelines and policies.

Regional Narcotics Suppression (Fund 118)

Increase the equipment budget by \$60,000 offset by a reduction to the Services & Supplies budget for the purchase of a GPS (Global Positioning System) Tracking System. This equipment will be installed in a recently purchased airplane for surveillance purposes.

Motor Vehicle Theft Task Force (Fund 122)

Add one Deputy District Attorney IV and appropriations of \$86,261 offset by a decrease to reserves. This position is requested to handle an increased number of complex cases generated by the Task Force investigators, as well as, the auto theft units of local law enforcement agencies.

Sheriff-Coroner Replacement and Maintenance (Fund 13R)

- Adjust operating transfers between Fund 13R and Sheriff Court Operations Agency 047 (\$918) and Sheriff-Coroner Agency 060 (\$21,360) to properly reflect actual anticipated revenue for Fund 13R.
- Increase appropriations by \$45,531 offset by revenue from contract cities/partners for the Mobile Data Computer (MDC) Program.

Sheriff-Coroner Construction & Facility Development (Fund 14Q)

- Decrease operating transfer in from PSST Fund 14B by \$5,626,000. This amount was included in the adopted FY 07-08 budget; however, sufficient Fund Balance Available was available at year-end in Fund 14Q to cover the cost of the budgeted projects.
- Increase appropriations by \$134,499 offset by an operating transfer in from Fund 13N Tobacco Settlement Fund for rebudgeting of the Environmental/Heating Ventilating and Air Conditioning Control project at Theo Lacy.
- Increase appropriations by \$132,560 offset by an operating transfer in from Fund 104 Criminal Justice Facilities for rebudgeting of a project to replace the air conditioning units in the Inmate Program Building at Theo Lacy.

Court Facilities Fund (Fund 14U)

Increase appropriations by \$144,925 offset by revenue from a prior year encumbrance cancellation. This adjustment is required to balance Fund 14U. Funding will be used to replace the third floor lobby ceiling at the North Justice Center and to remodel Courtroom C52 for Traffic Court at the Central Justice Center.

800 MHz Countywide Coordinated Communications System (Fund 15L)

Increase appropriations by \$2,553,355 offset by revenue from the 2006 Homeland Security Grant for the microwave tower upgrade.

Program II – Community Services

Community Services Agency (Agency 012)

Increase appropriations and revenue by \$357,302 for the Office on Aging to reconcile to the final State budget. This increase in funding will offset program costs such as case management, in-home services, personal care, home delivered meals, congregate meals, legal assistance, community services and other programs that assist older adults and caregivers.

Health Care Agency (Agency 042)

Delete 31 positions (see *Position Change Summary* in *Recommended Action* section for list of positions) and decrease appropriations and revenue by \$3,793,609. Lower than budgeted Realignment revenue is expected this fiscal year. Current service levels will continue to be met in all impacted program areas and at all locations with the exception of the Fullerton Behavioral Health Services Clinic, which will close as a result of these reductions. Clients currently being served at this clinic will be referred to other clinics, the closest being in Anaheim.

Social Services Agency (Agency 063)

Increase appropriations and revenue by \$3,478,938 to reconcile to the final State budget. This increase in appropriations and revenue is related to existing budgeted programs.

Child Support Program Development (Fund 12C)

Decrease appropriations and revenue by \$56,183,194 to reflect a change in accounting for Federal and State funding advances. This technical adjustment will allow for the booking of revenue in Child Support Services Agency 027 instead.

Wraparound Program (Fund 12W)

Increase appropriations and State revenue by \$1,114,522 for provision of additional Wraparound services for the Adoption Assistance Program. Establish an \$830,545 operating transfer out to Agency 066 Aid to Families with Dependent Children-Foster Care to reimburse related expenditures incurred within this agency.

Substance Abuse & Crime Prevention Act (Fund 13X)

Increase operating transfer revenue, court fine revenue, and interest revenue and related appropriations for Health Care Agency (\$2,156,521), Probation (\$546,723), Public Defender (\$167,054), and District Attorney (\$167,054) to reconcile to the final State budget.

Family Support Admin Claim (Trust Fund 256)

Direct the Auditor-Controller to close Trust Fund 256, originally established by the Board on February 5, 2002 for holding of Federal and State revenue advances before transfer to Child Support Services Agency 027. Due to a change in accounting procedure, the revenues are now booked directly in Agency 027. There is no residual balance in this fund.

Program III – Infrastructure and Environmental Resources

Watershed & Coastal Resources (Agency 034)

A technical adjustment is required to correct the subcode on a \$750,000 operating transfer in from Fund 405 OC Parks (7814-05). During the FY 07-08 Budget development process this budget item was inadvertently coded with a subcode applicable to a transfer in from Flood Fund 400 (7814-00). This correction will allow for the transfer in from Fund 405.

Resources and Development Management Department [RDMD] (Agency 080)

- Add one Senior Systems/Programmer Analyst and two Administrative Manager I positions. These position adds are offset by the deletion of three vacant positions (one Warehouse Worker II, one Trades Helper II, and one Elevator Mechanic II). Any additional cost associated with this request will be absorbed within the existing Agency 080 budget. These positions are required to meet increased technology demands, and to support the Office of Standards and Compliance and Real Estate and Asset Management function.
- Transfer NCC of \$70,100 from Agency 040 Utilities to Agency 080. The County Records Center was not previously charged for the cost of utilities when it was part of the County Executive Office (CEO). After transfer of this function to RDMD in the FY 06-07 First Quarter Budget Report, the utility costs are now billable.
- Transfer appropriations and NCC of \$29,226 from RDMD Agency 080 to CEO Agency 017 related to Security Guard Services. In the FY 06-07 First Quarter Budget Report, the CEO transferred multi-tenant contracts to RDMD Facilities Operations. This transfer inadvertently included a Security Guard Services contract, which is unrelated to Facilities Operations, and is now being transferred back.

Road (Fund 115)

- Add one Administrative Manager II position offset by deletion of a vacant Planner III position in Agency 080. This position is required to administer the new program associated with the recent passage of State Propositions 42 and 1B, which makes available significant additional roadway funding. Decrease appropriations and revenue in Agency 080 by \$75,221 related to deletion of the Planner III position. The cost of the Administrative Manager II position will be absorbed within the existing Fund 115 budget.
- Establish a \$2,000,000 operating transfer in from Fund 406 OC Parks (OCP) Capital, offset by an increase to reserves, to accommodate the transfer of ownership and maintenance responsibilities of Edinger Avenue Bridge at Bolsa Chica Channel from Fund 406 to Fund 115. Construction and maintenance of bridges is not a core OCP business. This transfer of responsibility will allow Road to apply for a federal grant to construct a state-of-the-art replacement for this timber bridge originally built in 1968.

Orange County Public Library [OCPL] (Fund 120)

- Increase appropriations by \$46,200 offset by an increase to the Services & Supplies Reimbursement account for remodeling of the office space rented by County Executive Office/Information Technology (CEO/IT). Under provisions of the Memorandum of Understanding between OCPL and CEO/IT, OCPL is responsible for administration of remodeling projects but is reimbursed by CEO/IT.
- Increase appropriations by \$75,000 offset by revenue from a donation from the Friends of the Laguna Beach Library for lighting upgrades at the Laguna Beach Library.
- Transfer \$500,000 from the Reserve for Designated Operations account (9811) to the Reserve for Contingencies account (9815). OCPL has been directed by the Library Advisory Board (LAB) to establish reserves to draw upon in case of contingencies. The LAB has advised OCPL to continue to increase its contingency reserve, as possible, up to 10 percent of budgeted appropriations.
- Increase appropriations by \$10,647 offset by a donation from the Westminster Friends of the Library for adult shelving upgrades at the Westminster Branch Library.
- Decrease appropriations by \$293,232 to reflect a reduction in State Public Library Foundation funding (\$290,854) and a decrease in State Interlibrary Loan funding (\$2,378).
- Increase appropriations by \$50,000 offset by a donation from the Friends of READ Orange County for support of the second annual Orange County author festival, Literary Orange – A Celebration of Authors. This funding will help procure a facility for the event and cover associated administrative costs.
- Increase appropriations by \$7,630 offset by an operating transfer in from Library Capital Fund 119 as a result of a \$7,630 decrease to reserves in Fund 119. The \$7,630 was previously reserved in Fund 119 from passport sales revenue at the Costa Mesa Library to be used for construction of a new branch library. OCPL and Friends of the Costa Mesa Library have determined that passport sales revenue should be used for

enhancements and supplies at the Costa Mesa Library rather than for new construction.

- Convert two part-time Library Clerk positions into one full-time position. This change will assist the Cypress Branch Library better meet its staffing requirements. There is no budgetary impact related to this request.

District Community Priorities and Projects (Fund 130)

Establish \$450,000 operating transfer to Fund 106 County Tidelands-Newport Bay and a \$675,000 operating transfer to Fund 406 OC Parks Capital. These transfers are required to fund Second District Board of Supervisors allocations to park capital projects approved by the Board on October 16, 2007. In addition, reassignment of existing appropriations within Fund 406 is required to fund the capital projects approved on October 16.

Regional Park Accumulative Capital Outlay (Fund 14P)

Establish appropriations of \$3,939,750 offset by an operating transfer in from Fund 405 OC Parks. The source of these funds is savings in Fund 405 due to elimination of the billing of Harbor Patrol Services by the Sheriff to Fund 405. General Funds previously allocated by the Board for backfill of Harbor Patrol Services to Fund 405 will now go to Sheriff. Fund 405 will no longer pay for Harbor Patrol Services and these cost savings will be transferred from Fund 405 to Fund 14P. These funds are currently budgeted as an operating transfer from Fund 405 to Fund 406 OC Parks Capital for allocation by the individual Board offices to park capital projects. These funds will now be transferred to Fund 14P annually.

Integrated Waste Management (Fund 299)

- Increase appropriations by \$3,120,000 offset by a decrease to reserves to complete mitigation for the Regional Landfill Options for Orange County (RELOOC), Frank R. Bowerman Landfill Implementation Project as required by biological permits issued by the Army Corps of Engineers (ACOE) and the California Department of Fish and Game. Funding will be used to implement the Lower Marsh Phase II Restoration Project. The actual estimated cost of the project is \$2,600,000; however, the ACOE permit requires a financial assurance of 120% of the project cost as a guarantee.
- Increase reserves by \$11,500,000 offset by a decrease in appropriations. The Prima Deshecha Landfill Zone 1 Phase C3 Mass Excavation/Groundwater Protection Project has been postponed to FY 08-09; thus, appropriation of the project cost is not required this fiscal year.

OC Parks (Fund 405)

Increase appropriations by \$2,685,000 offset by Proposition 12 and 40 State grant funds for rebudget of projects not completed in FY 06-07. These projects include asphalt maintenance in regional parks, various park facility maintenance projects, a comprehensive park facility depreciation study, procurement of customer surveys, and consultant services related to the OC Parks Strategic Plan. Funding will also be used to

offset an increased cost in leave payoffs, CWCAP charges, and increased property tax assessments on OC Parks owned land.

OC Parks Capital (Fund 406)

Increase appropriations by \$488,590 offset by Proposition 12 and 40 State grant funds for rebudget of projects not completed in FY 06-07 and to account for changes in funding requirements for certain capital projects. In addition, \$296,600 in existing budgeted appropriations is being redirected to fund these projects which include various park facility maintenance projects, an erosion control study for Santiago Oaks Regional Park, improvement of a sports field at Yorba Regional Park, the Santa Ana River Parkway Phase I Study, Mason Regional Park playground improvements, Dana Point Harbor playground project, and the Irvine Ranch Historic Park Sidewalks and Landscaping project.

Program IV – General Government Services

Assessor (Agency 002)

Transfer \$532,428 from Data Systems Development Agency 038 to Agency 002 to fund Price Agreements with ARK Technologies and Modern eConcepts for technical services and systems and application support of the Assessment Tax System. Approval of the agreements and funding source was approved by the Board on April 17, 2007.

Auditor-Controller (Agency 003)

- Add two limited-term (through 11/30/10) Administrative Manager IIs and one limited-term (through 11/30/10) Office Specialist for the CAPS+ Upgrade project. The current year cost of the positions, \$203,775, is covered in the CAPS budget.
- Increase appropriations and NCC by \$35,426 to fully fund two positions added in the FY 07-08 Budget. The NCC transferred from Internal Audit Agency 079 to Agency 003 during the budget process was less than the amount required to fully fund the two positions.
- Decrease revenue by \$952,000 with a corresponding increase in revenue in Treasurer-Tax Collector (TTC) Agency 074. During FY 06-07, it was agreed that a portion of the Special Assessment Fee Revenue (fee for adding special assessments onto the tax roll) should be recorded to TTC because of its role in the support of special assessments.

County Executive Office (Agency 017)

- Add one Executive Secretary I position to provide support to the Deputy CEO Infrastructure and Environmental Services. Increase appropriations and NCC by \$69,204 to cover the cost of the position.
- Increase appropriations and NCC by \$60,000 to cover the cost of a Grant Services Contract with Townsend Public Affairs for assistance in obtaining and securing grant funding.

County Counsel (Agency 025)

Increase appropriations by \$45,106 offset by cost apply from Public Administrator/Public Guardian Agency 029 for the addition of one Legal Services Assistant position. This position is required to meet additional workload requirements mandated by AB 1363 Omnibus Conservatorship and Guardian Reform Act of 2006, which is intended to improve the Court oversight of conservators.

Performance Audit Department (Agency 050)

Establish appropriations and NCC of \$750,000 offset by a NCC reduction in Miscellaneous Fund Agency 004. On June 26, 2007, the Board of Supervisors voted to create a performance audit unit function reporting directly to the Board. Three positions and \$750,000 in appropriations were included in the FY 07-08 Budget in Miscellaneous Fund Agency 004 for this purpose, and now require transfer to Agency 050. The positions are one Administrative Manager III, one Administrative Manager II and one Administrative Manager I.

Treasurer-Tax Collector (Agency 074)

Increase revenue by \$1,040,866 resulting in an equivalent reduction in NCC. This additional revenue is a result of an increase in existing fees and new fees approved by the Board on June 19, 2007.

Program V – Capital Improvements

Capital Projects (Agency 036)

- Increase appropriations by \$600,000 offset by an operating transfer in from Fund 15L 800 MHz Countywide Coordinated Communication System for rebudget of the Dana Point 800 MHz project. Due to unforeseen delays, this project was not awarded in FY 06-07 as originally anticipated and budgeted for.
- Increase appropriations by \$30,000 offset by an operating transfer in from Court Facilities Fund 14U for the West Justice Center Rebuild Control Center project. This project will update the existing control panel with modern switches, hardware and wiring.

Program VI – Debt Service

Assessment District 01-1 Newport Coast Phase IV (Fund 534)

Establish appropriations of \$14,500,000 offset by bond sales for Assessment District 01-1, Newport Coast (Phase IV). Group 3 fixed rate bonds are being sold in November to provide funds to cancel Series A 2006 variable rate bonds for this assessment district. The Board approved this sale on September 18, 2007.

Orange County Special Financing Authority (Fund 599)

Increase appropriations by \$2,500,000 offset by an operating transfer in from Fund 15S Designated Special Revenue to fund the purchase of Teeter Program receivables. This operating transfer was approved by the Board on July 24, 2007.

Program VII – Insurance, Reserves and Miscellaneous

Employee Benefits (Agency 056)

- Increase appropriations and NCC by \$58,029 for expenses associated with outside legal costs.
- Increase appropriations and NCC by \$131,456 for addition of one Administrative Manager II position to manage the new plans for retirees, facilitate the transition to a new PPO administrator, and to respond to inquiries and requests from the public and Board for cost and other information regarding the County's benefit plans.

General Fund (Fund 100)

Decrease reserves by \$8,000,000 and appropriate \$1,000,000 in County Counsel Agency 025 and \$7,000,000 in Miscellaneous Fund Agency 004 for contingencies.

El Toro Improvement Fund (Fund 15T)

Establish appropriations of \$70,000 and a reserve of \$1,409,953 offset by revenue from the receipt of property tax increment from the Irvine Development Agency (\$1,409,953) and interest (\$70,000). In the pre-annexation agreement dated March 4, 2003, the Irvine Redevelopment Agency, County and City of Irvine entered into an agreement on certain property tax transfer, annexation and reuse of the Marine Corp Air Station El Toro Base. Part of this agreement includes the deposit of the County's share of the property tax increment into Fund 15T.

Transportation Internal Service Fund (Fund 296)

- Increase appropriations by \$617,000 offset by a decrease to reserves for Phase II Vapor Recovery Upgrades for underground fuel tanks at the Civic Center, South County, and Fruit Street locations.
- Increase appropriations by \$90,000 offset by a decrease to reserves for purchase of a replacement service truck with crane to allow Transportation mechanics to provide field (on-site) repair and maintenance services.
- Increase appropriations by \$26,000 offset by an operating transfer in from Sheriff-Coroner Agency 060 for the purchase of a Class B vehicle for the Sheriff Bloodhound Team.
- Increase operating transfer in from Sheriff-Coroner Agency 060 by \$96,000 for the purchase of four Class G Crime Scene Vans. Purchase of the vans was approved in the Sheriff-Coroner's adopted FY 07-08 budget including the operating transfer out to Fund 296; however, the operating transfer in to Fund 296 was not budgeted at that time. This technical adjustment is required to complete the transfer and purchase of the vans.
- Increase appropriations by \$24,000 offset by an operating transfer in from Sheriff-Coroner Agency 060 to reconcile vehicle purchases for the contract cities. This adjustment will delete the purchase of vehicles for San Clemente and Rancho Santa Margarita and add vehicle purchases for Mission Viejo and Aliso Viejo. The

operating transfer out of Agency 060 to Fund 296 is offset by an operating transfer in from PSST Fund 14B.

Other Issues

Included in the Recommended Actions section of this report is the *Negotiated Salary Adjustment Schedule*, which allocates NCC to departments for the previously approved Cost of Living Adjustments (COLA) effective June 22, 2007. The cost of the adjustments was included in the FY 2007-08 Budget, thus, there is no increase in NCC as a result of the adjustments included in this report. Adjustments for Non-General Fund departments requiring additional appropriations to cover the cost of the increases are also included in the *Negotiated Salary Adjustment Schedule*.

QUARTERLY GRANT SURVEY SUMMARY
Fiscal Year 2007-08
1st Quarter
(July 1st - September 30th)

Agency/Department	Number of Grants				Grant Award Activity					
	Applications Pending	Grants Awarded	Grants Denied	Total	Applications Pending	Total Award	Award Receipts & Reimbursements		Award Balance Pending Receipt (1)	Amounts not Awarded
							Current Fiscal Year To Date	Prior Fiscal Years		
Program I - Public Protection										
District Attorney (026)*	6	8	0	14	\$1,060,646	\$4,607,697	\$0	\$140,000	\$4,467,697	\$0
Probation (057)*	2	4	0	6	0	4,784,863	13,565	3,046,646	1,724,652	0
Public Defender (058)	3	0	0	3	249,000	0	0	0	0	0
Sheriff-Coroner (060)*	2	12	1	15	604,552	4,359,558	484,487	828,031	3,030,201	310,541
Total - Program I	13	24	1	38	\$1,914,198	\$13,752,118	\$498,052	\$4,014,677	\$9,222,550	\$310,541
Program II - Community Services										
Health Care Agency (042)*	1	7	0	8	\$200,000	\$77,549,461	\$404,955	\$748,143	\$76,396,363	\$0
Social Services Agency (063)*	0	16	0	16	0	9,969,873	296,920	4,342,238	5,330,715	0
Housing & Community Services (012)*	11	2	0	13	5,948,180	675,747	229,986	300,000	145,761	0
Total - Program II	12	25	0	37	\$6,148,180	\$88,195,081	\$931,861	\$5,390,381	\$81,872,839	\$0
Program III - Infrastructure & Environmental Resources										
Dana Point Harbor (108)	0	1	0	1	\$0	\$2,541,000	\$937,019	\$364,641	\$1,239,340	\$0
Orange County Public Library (120)	6	4	0	10	187,057	63,529	0	11,250	52,279	0
Resources & Development Management (034, 115, 296, 400)*	6	17	0	23	19,222,300	86,585,449	2,329,881	10,480,330	73,775,238	0
John Wayne Airport (280)*	0	8	0	8	0	42,849,839	2,960,793	14,242,198	25,646,848	0
Integrated Waste Management Department (299)	0	1	0	1	0	31,938	31,938	0	0	0
Total - Program III	12	31	0	43	\$19,409,357	\$132,071,755	\$6,259,631	\$25,098,419	\$100,713,705	\$0
Program IV - General Government										
Registrar of Voters (031)	1	1	0	2	\$287,936	\$16,782,377	\$12,121,875	\$0	\$4,660,502	\$0
County Executive Office (036)	0	1	0	1	0	204,101	204,101	0	\$0	0
Total - Program IV	1	2	0	3	\$287,936	\$16,986,478	\$12,325,976	\$0	\$4,660,502	\$0
TOTAL - ALL PROGRAMS	38	82	1	121	\$27,759,671	\$251,005,432	\$20,015,520	\$34,503,477	\$196,469,596	\$310,541

Footnotes:

* Represents departments/agencies with grants awarded over multiple years, therefore, dollar amounts may include multi-year allocations.

(1) Reports the balance of awards not yet received (total award, less current and prior fiscal year receipts and less any amounts in the

"Amounts not Awarded" column that represent unspent funds or funds that otherwise will not be reimbursed.

[see footnotes in the Grant Survey detail report for full explanation of all categories]

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements							
Applications Pending (1)	Total Award (2)	Current Quarter (3)	Current Fiscal Year To Date (4)	Prior Fiscal Years (5)	Award Balance Pending Receipt (6)	Amounts not Awarded (7)	

PROGRAM I - PUBLIC PROTECTION

DISTRICT ATTORNEY (Agency 026)

Contact Name & Phone Number: Kim Dinh, 347-8435
Number of FTE's responsible for grant writing: 1.0 FTE (full-time equivalent)
Annual staff and any services & supplies costs related to the grant function: \$71,670
Specify any special services/tools/resources used to track available grants: Department utilizes the eCivis software program.

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1	Grant Name: Project Safe Neighborhoods Grantor/Source: Office of Emergency Services (OES) Frequency: Annual Funding Uses: Prosecute firearms cases	\$0	\$182,880	\$0	\$0	\$140,000	\$42,880	\$0
2	Grant Name: Gang Prevention Through Awareness and Education Program Grantor/Source: U.S. Department of Justice Frequency: Annual Funding Uses: Conduct public awareness campaign to prevent Orange Count Youth from joining gangs through public awareness and youth education.	243,454	0	0	0	0	0	0
3	Grant Name: Gang Prevention Coordination Assistance Program Grantor/Source: U.S. Department of Justice Frequency: Annual Funding Uses: Enhance coordination of existing community-based gang prevention and intervention strategies.	200,000	0	0	0	0	0	0
4	Grant Name: Anti-Gang Initiative (AGI) - South County Safety Zone Grantor/Source: Department of Justice Frequency: One-time Funding Uses: Augment prosecution efforts to reduce gang crimes within the South Orange County Safety Zones	107,917	0	0	0	0	0	0
5	Grant Name: Anti-Gang Initiative (AGI) - Santa Ana Safety Zone Grantor/Source: Federal Department of Homeland Security, Office Of Domestic Preparedness Frequency: One-time Funding Uses: Augment prosecution efforts to reduce gang crimes in the City of Santa Ana Safety Zone	0	50,519	0	0	0	50,519	0
6	Grant Name: Automobile Insurance Fraud Program Grantor/Source: State DOI Frequency: Annual Funding Uses: Investigate and prosecute auto insurance fraud cases.	0	908,653	0	0	0	908,653	0
7	Grant Name: Disability and Healthcare Insurance Fraud Program Grantor/Source: State DOI Frequency: Annual Funding Uses: Investigate and prosecute disability and healthcare insurance fraud cases	0	662,791	0	0	0	662,791	0

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements							
Applications	Total Award		Current	Current Fiscal	Prior Fiscal	Award Balance	Amounts
Pending (1)	(2)	Quarter (3)	Year To Date	Years (5)	Pending Receipt	not	Awarded (7)
			(4)		(6)		
DISTRICT ATTORNEY (Agency 026) (Continued)							
8	Grant Name: Juvenile Accountability Block Grant Program Grantor/Source: Office of Juvenile Justice Delinquency Prevention Frequency: Annual Funding Uses: Prosecute youth violence and sexual offenses	130,814	0	0	0	0	0
9	Grant Name: Orange County Methamphetamine Lab Inspection Grantor/Source: State OHS/OES Frequency: Annual Funding Uses: Prosecute methamphetamine manufacturers	141,000	0	0	0	0	0
10	Grant Name: Restitution Program Grantor/Source: Victim Compensation and Gov. Claim Board Frequency: 2 Years Funding Uses: Improve California's criminal restitution system	237,461	0	0	0	0	0
11	Grant Name: Spousal Abuser Prosecution Program (SAPP) Grantor/Source: State DOJ Frequency: Annual Funding Uses: Prosecute serious and repeat spousal abusers	0	102,080	0	0	0	102,080
12	Grant Name: Vertical Prosecution Block Grant Grantor/Source: Office of Emergency Services Frequency: Annual Funding Uses: Prosecute statutory rape, child abuse, major narcotics & career criminal cases	0	798,379	0	0	0	798,379
13	Grant Name: Workers' Compensation Insurance Fraud Program Grantor/Source: State DOJ Frequency: Annual Funding Uses: Investigate and prosecute workers' compensation insurance fraud cases	0	1,850,000	0	0	0	1,850,000
14	Grant Name: Confidential Law Enforcement Communication Grantor/Source: California Attorney General's Office Frequency: Annual Funding Uses: Purchase of specialized equipment and training of investigative staff to investigate identity theft crimes.	0	52,395	0	0	0	52,395
TOTAL DISTRICT ATTORNEY (026)		\$1,060,646	\$4,607,697	\$0	\$0	\$140,000	\$4,467,697
							\$0

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements							
Applications Pending (1)	Total Award (2)	Current Quarter (3)	Current Fiscal Year To Date (4)	Prior Fiscal Years (5)	Award Balance Pending Receipt (6)	Amounts not Awarded (7)	

PROBATION (Agency 057)

Contact Name & Phone Number: Frank Kim 937-4728

Number of FTE's responsible for grant writing: The department has 1 dedicated position functioning as the Grant Coordinator. A team comprised of staff from research, finance, and administration is available to assist as needed on each application.

Annual staff and any services & supplies costs related to the grant function: Approximately \$100,000. Can be more depending on the number of grant opportunities.

Specify any special services/tools/resources used to track available grants: eCivis; websites of Probation resources such as State and Federal law enforcement agencies; contacts and sources from other counties.

1	<p>Grant Name: Juvenile Sex Offender Management (JSOM) Grantor/Source: Bureau of Justice Assistance, Office of Justice Programs, U.S. Department of Justice Frequency: 1-Time (for two-year period of Oct. 1, 2006 through Sep. 30, 2008) Funding Uses: Assessing current system of resources, policies and practices for the management of juvenile sex offenders; providing assistance to victims; identifying gaps; developing a comprehensive strategy for management of these cases.</p>	\$0	\$250,000	\$0	\$0	\$0	\$250,000	\$0
2	<p>Grant Name: Mentally Ill Offender Crime Reduction Grant (MIOCR) Grantor/Source: State of California, Department of Corrections and Rehabilitation (CDCR) Frequency: Annual Funding Uses: To reduce recidivism among mentally ill juvenile offenders, consistent with the purpose and intent of SB 1485, an initiative designed to determine the most effective strategies to reducing involvement of mentally ill offenders in the criminal justice system.</p>	0	1,499,378	13,565	13,565	11,161	1,474,652	0
3	<p>Grant Name: Residential Substance Abuse Treatment (RSAT) Grantor/Source: Office of Emergency Services, Law Enforcement and Victim Services Division Frequency: Annual Funding Uses: Enhanced services for 75 male minors serving court-ordered commitments in the Addiction and Substance Abuse, Education and Recognition and Treatment (ASERT) program at the Youth Guidance Center.</p>	0	2,855,485	0	0	2,855,485	0	0
4	<p>Grant Name: Amendment to Residential Substance Abuse Treatment (RSAT) Grantor/Source: Office of Emergency Services, Law Enforcement and Victim Services Division Frequency: 1-time amendment Funding Uses: Enhanced services for 75 male minors serving court-ordered commitments in the ASERT program at the Youth Guidance Center.</p>	0	180,000	0	0	180,000	0	0
5	<p>Grant Name: Implementing Effective Correctional Management of Offenders in the Community Project Grantor/Source: National Institute of Corrections and the Crime and Justice Institute Frequency: 1-time (award notification September 2007, amount pending) Funding Uses: Technical assistance to advance evidence-based practices, organizational development and collaboration within adult community corrections. The Orange County Probation Department has been named as the third site for this national initiative.</p>	0	0	0	0	0	0	0

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements							
Applications		Current	Current Fiscal	Prior Fiscal	Award Balance	Amounts	
Pending (1)	Total Award (2)	Quarter (3)	Year To Date (4)	Years (5)	Pending Receipt (6)	not	Awared (7)

PROBATION (Agency 057) (Continued)

6	Grant Name: Technology Transfer Program	0	0	0	0	0	0	0
	Grantor/Source: Office of National Drug Control Policy							
	Frequency: 1-time (application submitted 7/25/07)							
	Funding Uses: Forensic "tool kit" equipment to enhance the efficiency in the analysis of digital information and records.							
TOTAL PROBATION (057)		\$0	\$4,784,863	\$13,565	\$13,565	\$3,046,646	\$1,724,652	\$0

PUBLIC DEFENDER (Agency 058)

Contact Name & Phone Number: Becky Juliano 834-3182
Number of FTE's responsible for grant writing: Varies - Public Defender does not have staff solely assigned to grant writing
Annual staff and any services & supplies costs related to the grant function: Varies - Public Defender does not have staff solely assigned to grant writing
Specify any special services/tools/resources used to track available grants: The department uses the eCivis services and website for researching grant opportunities as well as utilizing state, federal, and private sights to seek grant opportunities.

1	Grant Name Mental Health Juvenile Benefits	\$83,000	\$0	\$0	\$0	\$0	\$0	\$0
	Grantor/Source State or Private							
	Frequency Annual							
	Funding Uses A Benefits Coordinator in Juvenile Courts to assist juveniles in seeking access to placement, housing support resources, and income benefits.							
2	Grant Name Mental Health Adult Benefits	83,000	0	0	0	0	0	0
	Grantor/Source State or Private							
	Frequency Annual							
	Funding Uses A Benefits Coordinator in the Specialty Courts to assist clients in access to entitlement benefits such as SSI, SSDI, Section 8 housing, and other appropriate program resources and income benefits to assist them in successful treatment and rehabilitation.							
3	Grant Name Mental Health Services Community Courts	83,000	0	0	0	0	0	0
	Grantor/Source State or Private							
	Frequency Annual							
	Funding Uses Coordinate staff located at the new Community Courts Building to assist clients on a daily basis in program and resources coordination and monitoring.							
TOTALS: PUBLIC DEFENDER (058)		\$249,000	\$0	\$0	\$0	\$0	\$0	\$0

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements							
Applications Pending (1)	Total Award (2)	Current Quarter (3)	Current Fiscal Year To Date (4)	Prior Fiscal Years (5)	Award Balance Pending Receipt (6)	Amounts not Awarded (7)	

SHERIFF-CORONER (Agency 060)

Contact Name & Phone Number: Deputy Dee Dee Kurimay, (714) 935-6869
 Number of FTE's responsible for grant writing: 1.7 FTE
 Annual staff and any services & supplies costs related to the grant function: \$261,205
 Specify any special services/tools/resources used to track available grants: Department utilizes the National Institute of Justice, Federal Grants automatic notification systems, eCivis, Justice Planning and Management Associates, Office of Emergency Services, and Department of Justice

1	Grant Name Grantor/Source Frequency Funding Uses	Portable Evidential Breath Testing (PEBT) - Upgrade CA Office of Traffic Safety 1-Time (FY 07-08, rollover funds decreased by \$16,839) Forensics: Upgrade the use of PEBT's for DUI Enforcement	\$0	\$345,000	\$14,861	\$14,861	\$174,192	\$139,108	\$16,839
2	Grant Name Grantor/Source Frequency Funding Uses	DNA Expansion Program (Inter Agency Agreement) National Institute of Justice 1-Time Forensics: DNA Caseload Expansion to Property Crimes	0	495,505	212,633	212,633	250,983	31,889	0
3	Grant Name Grantor/Source Frequency Funding Uses	DNA Backlog Reduction Grant National Institute of Justice 1-Time Forensics: DNA Backlog Reduction	0	173,440	12,281	12,281	159,987	1,172	0
4	Grant Name Grantor/Source Frequency Funding Uses	2005 DNA Capacity Enhancement Grant National Institute of Justice 1-Time Forensics: DNA Caseload Capacity Enhancement	0	164,395	111,265	111,265	10,744	42,386	0
5	Grant Name Grantor/Source Frequency Funding Uses	2005 Coverdell Block Grant (training) National Institute of Justice / CA Office of Emergency Services 1-Time Forensics: Training in Forensic Science	0	93,639	4,934	4,934	82,024	6,681	0
6	Grant Name Grantor/Source Frequency Funding Uses	2005 Coverdell Block Grant (training) (formerly 2006 Coverdell Block Grant, training - rolled back to 2005) National Institute of Justice / CA Office of Emergency Services 1-Time Forensics: Training in Forensic Science	0	104,256	51,433	51,433	0	52,823	0
7	Grant Name Grantor/Source Frequency Funding Uses	2006 DNA Capacity Enhancement Grant National Institute of Justice 1-Time Forensics: DNA Caseload Capacity Enhancement	0	199,767	0	0	0	199,767	0

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements							
Applications	Total Award (2)	Current	Current Fiscal	Prior Fiscal	Award Balance	Amounts	
Pending (1)		Quarter (3)	Year To Date	Years (5)	Pending Receipt	not	
			(4)		(6)	Aw	(7)

SHERIFF-CORONER (Agency 060) (Continued)

8	Grant Name	Mentally Ill Offender Crime Reduction (MIOCR) Grant	0	1,500,000	0	0	0	1,500,000	0
	Grantor/Source	Department of Corrections and Rehabilitation (Corrections Standards Authority)							
	Frequency	Annual (Initial funding for 18 month period, which includes program implementation; annual thereafter)							
	Funding Uses	Jail Operations: Collaborative, joint-agency program to identify mentally ill (in-custody) inmates who may be better served in a non-custodial environment. Multi-agency collaboration with Health Care Agency, County Probation, District Attorney, and Superior Court, as well as community-based organizations.							
9	Grant Name	Boating Safety and Enforcement Equipment Grant	0	39,849	0	0	0	39,849	0
	Grantor/Source	California Department of Boating and Waterways							
	Frequency	1-Time							
	Funding Uses	Harbor Patrol law enforcement equipment							
10	Grant Name	Boating Safety and Enforcement Equipment Grant	80,000	0	0	0	0	0	0
	Grantor/Source	California Department of Boating and Waterways							
	Frequency	1-Time (Department anticipates only receiving an award of \$41,800 or less)							
	Funding Uses	Harbor Patrol law enforcement equipment							
11	Grant Name	Avoid the Ten DUI Campaign-South Orange County	0	493,800	32,835	32,835	33,539	427,426	0
	Grantor/Source	CA Office of Traffic Safety							
	Frequency	40-Month Grant							
	Funding Uses	DUI Enforcement and Educational Programs							
12	Grant Name	Solving Cold Cases With DNA	0	352,480	44,245	44,245	116,562	191,673	0
	Grantor/Source	National Institute of Justice							
	Frequency	2 -year							
	Funding Uses	C.I.D., Review & Prioritize Cold Cases / DNA Analysis of Biological Evidence							
13	Grant Name	Solving Cold Cases With DNA - Application Denied	293,702	0	0	0	0	0	293,702
	Grantor/Source	National Institute of Justice							
	Frequency	18 months							
	Funding Uses	C.I.D., Review & Prioritize violent crimes / DNA Analysis of Biological Evidence							

FY 2007-08 1st Quarter Activity by Agency & Grant

		Award Receipts & Reimbursements						
		Applications Pending (1)	Total Award (2)	Current Quarter (3)	Current Fiscal Year To Date (4)	Prior Fiscal Years (5)	Award Balance Pending Receipt (6)	Amounts not Awarded (7)
SHERIFF-CORONER (Agency 060) (Continued)								
14	Grant Name DNA Backlog Reduction Grant Grantor/Source National Institute of Justice Frequency 1-Time Funding Uses Forensics: DNA Caseload Capacity Enhancement and Backlog Reduction	0	397,427	0	0	0	397,427	0
15	Grant Name Inhalant Intoxification of DUI & DUID Drivers Grantor/Source Department of Corrections and Rehabilitation (Corrections Standards Authority) Frequency 1-Time Funding Uses Forensics: Instrument, method development & epidemiological study	230,850	0	0	0	0	0	0
TOTALS: SHERIFF-CORONER (060)		\$604,552	\$4,359,558	\$484,487	\$484,487	\$828,031	\$3,030,201	\$310,541
GRAND TOTAL: PROGRAM I - PUBLIC PROTECTION		\$1,914,198	\$13,752,118	\$498,052	\$498,052	\$4,014,677	\$9,222,550	\$310,541

PROGRAM II - COMMUNITY SERVICES

HEALTH CARE AGENCY (Agency 042)

Contact Name & Phone Number: Janet Holcomb 834-3158
Number of FTE's responsible for grant writing: Varies - HCA does not have staff solely assigned to grant writing
Annual staff and any services & supplies costs related to the grant function: Varies - HCA does not have staff solely assigned to a grant function
Specify any special services/tools/resources used to track available grants: eCivis software, through County price agreement

1	Grant Name Ryan White CARE Act, Title II Grantor/Source State Department of Health Services Frequency 4/1/07 - 3/31/10 (Includes rollover of \$28,335 pending balance of 4/1/04 - 3/31/07 award from last quarter) Funding Uses Outpatient medical care services to persons infected with HIV	\$0	\$1,699,521	\$0	\$0	\$0	\$1,699,521	\$0
2	Grant Name State Incentive Grant Grantor/Source State Department of Alcohol and Drug Programs Frequency 10/1/06 -09/30/07 (Includes rollover of \$165,203 pending balance of 10/1/05 - 9/30/06 award from last quarter) Funding Uses Campus - Community Alcohol Management Project	0	596,219	183,282	183,282	167,542	245,395	0
3	Grant Name Nurse-Family Partnership Grantor/Source Children and Families Commission of Orange County (Proposition 10) Frequency 7/1/06 - 6/30/08 Funding Uses Case management and in-home visitation to pregnant/parenting women and their families	0	648,000	0	0	292,083	355,917	0
4	Grant Name Perinatal Substance Abuse Services Initiative Grantor/Source Children and Families Commission of Orange County (Proposition 10) Frequency 7/1/06 - 6/30/08 Funding Uses In-home visitation targeting at-risk pregnant women with histories of substance abuse and/or HIV infection	0	356,100	0	0	173,039	183,061	0

FY 2007-08 1st Quarter Activity by Agency & Grant

		Award Receipts & Reimbursements						
		Applications	Current	Current Fiscal	Prior Fiscal	Award Balance	Amounts	
		Pending (1)	Quarter (3)	Year To Date (4)	Years (5)	Pending Receipt (6)	not Awarded (7)	
		Total Award (2)						
HEALTH CARE AGENCY (Agency 042) (Continued)								
5	Grant Name Coverage Initiative Grantor/Source State Department of Health Services, Medi-Cal Operations Division Frequency 9/1/07 - 9/1/10 Funding Uses Expansion and improvement of insurance coverage in California to uninsured populations that are currently ineligible for public insurance programs	0	69,000,000	0	0	0	69,000,000	0
6	Grant Name Proposition 36 Offender Treatment Program Grantor/Source State Department of Alcohol and Drug Programs Frequency 12/1/06 - 11/30/08 Funding Uses Provide enhanced services and capacity to dramatically increase entrance, retention, and graduation rates of Prop 36 clients	0	4,226,164	0	0	0	4,226,164	0
7	Grant Name Justice and Mental Health Collaboration Program Grantor/Source U. S. Department of Justice Frequency 1-time, 24 months Funding Uses Increase public safety through innovative cross-system collaboration for individuals with mental illness who come into contact with the criminal or juvenile justice systems	200,000	0	0	0	0	0	0
8	Grant Name Comprehensive Drug Court Implementation Grant Grantor/Source State Department of Alcohol and Drug Programs Frequency 1/1/07 - 12/31/07 Funding Uses Enable the County's Drug Court program to continue treatment services and community supervision to approximately 250 participants	0	1,023,457	221,673	221,673	115,479	686,305	0
TOTALS: HEALTH CARE AGENCY (042)		\$200,000	\$77,549,461	\$404,955	\$404,955	\$748,143	\$76,396,363	\$0

SOCIAL SERVICES AGENCY (Agency 063)

Contact Name & Phone Number: Randi Dunlap 541-7704
 Number of FTE's responsible for grant writing: 1.98 FTE
 Annual staff and any services & supplies costs related to the grant function: S&EB \$132,204/Travel expenses \$6,000
 Specify any special services/tools/resources used to track available grants: Agency uses standard business software: MS Word. Some service providers use MS Access software.

1	Grant Name Child Abuse Treatment Program (CHAT) Grantor/Source State of California Office of Emergency Services (OES) Frequency Three-year - Funded annually Funding Uses For comprehensive treatment services for child victims of abuse and neglect.	\$0	\$600,000	\$46,920	\$46,920	\$62,397	\$490,683	\$0
2	Grant Name Child Abuse Treatment Program (CHAT) Grantor/Source Children's Trust Fund Frequency Three -Year funded annually Funding Uses For comprehensive treatment services for child victims of abuse and neglect.	0	187,500	0	0	0	187,500	0

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements								
Applications	Total Award (2)	Current Quarter (3)	Current Fiscal Year To Date (4)	Prior Fiscal Years (5)	Award Balance Pending Receipt (6)	Amounts not Awarded (7)		
Pending (1)								

SOCIAL SERVICES AGENCY (Agency 063) (Continued)

3	Grant Name	Child Abuse Prevention, Intervention & Treatment (CAPIT)	0	1,759,570	0	0	879,785	879,785	0
	Grantor/Source	CDSS OCAP							
	Frequency	Three-year - Funded annually							
	Funding Uses	For addressing needs of children at high-risk of abuse or neglect and their families. Funding will be used for primary prevention services such as home based visiting programs, parent education, and respite care services.							
4	Grant Name	Promoting Safe and Stable Families (PSSF)	0	5,341,590	0	0	2,604,538	2,737,052	0
	Grantor/Source	CDSS OCAP							
	Frequency	Three-year - Funded annually							
	Funding Uses	For community-based collaboratives operating family resource centers to provide a comprehensive continuum of integrated community-based prevention, intervention, and treatment services as defined by the respective FRC's communities.							
5	Grant Name	Community-Based Child Abuse Prevention (CBCAP)	0	107,474	0	0	105,354	2,120	0
	Grantor/Source	CDSS OCAP							
	Frequency	Three-year - Funded annually							
	Funding Uses	To foster parent and community leadership for the family resource centers to serve as a vehicle for individual, family, and community change.							
6	Grant Name	Family to Family Planning	0	300,000	200,000	200,000	100,000	0	0
	Grantor/Source	Stuart Foundation							
	Frequency	Three-year beginning 2003 to 2007							
	Funding Uses	To support implementation of the Family to Family Initiative.							
7	Grant Name	Refugee Employment Social Services and Set Aside	0	302,571	0	0	172,951	129,620	0
	Grantor/Source	California Dept of Health and Human Services							
	Frequency	Annual							
	Funding Uses	Refugee Services							
8	Grant Name	Refugee Employment Social Services Discretionary	0	200,000	0	0	129,007	70,993	0
	Grantor/Source	California Dept of Health and Human Services							
	Frequency	Annual							
	Funding Uses	Refugee Marriage and Pre-Marriage Education							
9	Grant Name	Targeted Assistance Discretionary Grant to the Cambodian Family for Building the Future	0	239,386	0	0	233,840	5,546	0
	Grantor/Source	California Dept of Health and Human Services							
	Frequency	Annual							
	Funding Uses	Child Care Provider Training for Refugee Women and Men							
10	Grant Name	2004 Refugee Employment Social Services Rollover	0	87,431	0	0	0	87,431	0
	Grantor/Source	California Dept of Health and Human Services							
	Frequency	Annual							
	Funding Uses	Refugee Services							

FY 2007-08 1st Quarter Activity by Agency & Grant

		Award Receipts & Reimbursements							
		Applications	Current	Current Fiscal	Prior Fiscal	Award Balance	Amounts		
		Pending (1)	Quarter (3)	Year To Date (4)	Years (5)	Pending Receipt (6)	not		
		Total Award (2)					Awarded (7)		
SOCIAL SERVICES AGENCY (Agency 063) (Continued)									
11	Grant Name Grantor/Source Frequency Funding Uses	2005 Targeted Assistance Discretionary Grant Older Refugee Services California Dept of Health and Human Services Annual Older Refugee Services	0	10,825	0	0	4,366	6,459	0
12	Grant Name Grantor/Source Frequency Funding Uses	2006 Refugee Social Services California Dept of Health and Human Services Annual Refugee Services	0	464,291	0	0	0	464,291	0
13	Grant Name Grantor/Source Frequency Funding Uses	2006 Older Refugee Discretionary Grant California Dept of Health and Human Services Annual Older Refugee Services	0	11,272	0	0	0	11,272	0
14	Grant Name Grantor/Source Frequency Funding Uses	Casey Grant Annie E. Casey Foundation Three-year - Funded annually To augment Family to Family service to birth parents, foster, and adoptive parent recruitment in communities with identified needs.	0	300,000	50,000	50,000	50,000	200,000	0
15	Grant Name Grantor/Source Frequency Funding Uses	2005-06 Refugee Social Services Augmentation California Dept of Health and Human Services Annual Refugee Services	0	57,963	0	0	0	57,963	0
16	Grant Name Grantor/Source Frequency Funding Uses	2007 Older Refugee Discretionary Grant California Dept of Health and Human Services Annual (Application in process, amount not yet available) Older Refugee Services	0	0	0	0	0	0	0
		TOTALS: SOCIAL SERVICES AGENCY (063)	\$0	\$9,969,873	\$296,920	\$296,920	\$4,342,238	\$5,330,715	\$0

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements							
Applications Pending (1)	Total Award (2)	Current Quarter (3)	Current Fiscal Year To Date (4)	Prior Fiscal Years (5)	Award Balance Pending Receipt (6)	Amounts not Awarded (7)	

HOUSING & COMMUNITY SERVICES (Agency 012)

Contact Name & Phone Number: Connie Chang 480-2990
Number of FTE's responsible for grant writing: Approx. 2.0 FTE
Annual staff and any services & supplies costs related to the grant function: Approx. \$136,000 for S&EB
Specify any special services/tools/resources used to track available grants: Community Services: Resource publications & notices from U.S. Department of Labor; CA Employment Development Department; local, state and federal partner agencies, Orange County Housing Authority: Annual Family Self Sufficiency (FSS) and other performance reports

1	Grant Name Grantor/Source Frequency Funding Uses	Shelter Plus Care Program U.S. Department of Housing & Urban Development Five-year Funding (application pending notification since June 15, 2007) Provides rental assistance for homeless persons with disabilities.	\$2,733,180	\$0	\$0	\$0	\$0	\$0
2	Grant Name Grantor/Source Frequency Funding Uses	Orange County Self-Sufficiency Calculator Pilot Program (Community Investment Program) Orange County United Way 1-time (application pending notification since February 9, 2007) To fund 5-10 pilot sites that will introduce the use of two new tools: The Self-Sufficiency Standard for Orange County (The Standard) and the Orange County Self-Sufficiency Calculator (The Calculator). The pilot program is aimed at developing alternative approaches to reduce poverty in Orange County. The Standard and Calculator are designed to be used in job training, workforce development, education, housing and other family support programs as part of a larger program and policy strategy to get families off of public benefits and into high-wage, family-sustaining jobs for the long term.	50,000	0	0	0	0	0
3	Grant Name Grantor/Source Frequency Funding Uses	Orange County Self-Sufficiency Calculator Pilot Program (Orange County Housing Authority) Orange County United Way 1-time (application pending notification since February 16, 2007) To fund 5-10 pilot sites that will introduce the use of two new tools: The Self-Sufficiency Standard for Orange County (The Standard) and the Orange County Self-Sufficiency Calculator (The Calculator). The pilot program is aimed at developing alternative approaches to reduce poverty in Orange County. The Standard and Calculator are designed to be used in job training, workforce development, education, housing and other family support programs as part of a larger program and policy strategy to get families off of public benefits and into high-wage, family-sustaining jobs for the long term.	50,000	0	0	0	0	0
4	Grant Name Grantor/Source Frequency Funding Uses	Workforce Investment Act Funds - Pre-Vocational Training Grant U.S. Dept. of Labor (via State of California) WIA 15% Gov's Discretionary Funds 18-24 Months Funding (grant funding received prior year at year-end - now closed) To increase the number of at-risk youth who are prepared to enter career technical training, post-secondary educational programs, apprenticeships, or employment in high wage/high growth occupations by: 1) Introducing them to locally based high wage/high growth career options; and 2) Providing one or both of the following: Programs designed to improve basic academic skills (reading, writing and math, including completion of a GED); and/or Industry identified soft skills training (i.e. problem solving, work ethics, communications, etc.).	0	300,000	0	0	300,000	0

FY 2007-08 1st Quarter Activity by Agency & Grant

		Award Receipts & Reimbursements						
		Applications	Current	Current Fiscal	Prior Fiscal	Award Balance	Amounts	
		Pending (1)	Quarter (3)	Year To Date (4)	Years (5)	Pending Receipt (6)	not Awarded (7)	
		Total Award (2)						

HOUSING & COMMUNITY SERVICES (Agency 012) (Continued)

5	Grant Name	Transitional Housing Assistance Grants for Domestic Violence, Dating Violence, Stalking and Sexual Assault	350,000	0	0	0	0	0
	Grantor/Source	Department of Justice/Office on Violence Against Women						
	Frequency	3-Year (application pending notification since February 22, 2007)						
	Funding Uses	To support programs that provide assistance to victims of domestic violence, dating violence, sexual assault, and stalking who are in need of transitional housing, short-term housing assistance, and related support services. In addition, projects are to provide a wide range of flexible and optional services that reflect the differences and individual needs of victims and that allows victims to choose the course of action that is best for them. Transitional housing programs may offer individualized services such as counseling, support groups, safety planning, and advocacy services as well as practical services such as licensed child care, employment services, transportation vouchers, telephones, and referrals to other agencies.						
6	Grant Name	Regional and Local Incentive Awards	50,000	0	0	0	0	0
	Grantor/Source	State of California, Employment Development Department						
	Frequency	Annual (application pending notification since August 13, 2007)						
	Funding Uses	To provide business services that support the following criteria: 1) Partnerships: the extent to which workforce and economic development and other community partners are engaged in the delivery of business services; 2) Meeting business needs: the extent to which business and industry are engaged in identifying employer needs and developing strategies to meet those needs; 3) System design: the extent to which staff is focused in and knowledgeable of the industries and businesses in the local or regional economies; 4) Seamless delivery system: the extent to which services offered are coordinated or integrated among partners; 5) Clearly defined products and activities: the extent to which services are customized to meet business' needs; and 6) Clearly defined indicators: the extent to which business service indicators measure customer satisfaction, efficiency and effectiveness, and have defined expected outcomes.						
7	Grant Name	Community Development Block Grant - Neighborhood Preservation Program	0	375,747	229,986	229,986	0	145,761
	Grantor/Source	U.S. Department of Housing & Urban Development						
	Frequency	Annual (\$145,761 reimbursement will be received only if there are additional qualifying expenditures)						
	Funding Uses	Housing rehabilitation program.						
8	Grant Name	Mental Health Full Service Wrap Around Program (FSWAP) for Youthful Offenders	240,000	0	0	0	0	0
	Grantor/Source	County of Orange, Health Care Agency						
	Frequency	1-time (application pending notification since June 28, 2007)						
	Funding Uses	To provide a Full Service Partnership program for children and transitional age youth who have evidenced serious emotional disturbance or serious mental illness and are, or have been, involved in rehabilitation programs offered by the Orange County Probation Department, referred to as Full Service Wraparound program for Youthful Offenders.						

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements							
Applications	Current		Current Fiscal	Prior Fiscal	Award Balance	Amounts	
Pending (1)	Total Award (2)	Quarter (3)	Year To Date (4)	Years (5)	Pending Receipt (6)	not Awarded (7)	

HOUSING & COMMUNITY SERVICES (Agency 012) (Continued)

9	Grant Name	Community-Based Habitat Restoration Project Grants - FY 2008	250,000	0	0	0	0	0
	Grantor/Source	National Oceanic and Atmospheric Administration (NOAA), Department of Commerce (DOC).						
	Frequency	1-time (application in process and due on September 27, 2007)						
	Funding Uses	To catalyze the implementation of locally-driven, grass-roots habitat restoration projects that will benefit living marine and coastal resources, including diadromous fish. Projects funded through the CRP have strong on-the-ground habitat restoration components that provide educational and social benefits for people and their communities in addition to long-term ecological habitat improvements for NOAA trust resources.						
10	Grant Name	Rapid Response Special Projects Funding for FY 2007-08	225,000	0	0	0	0	0
	Grantor/Source	State of California, Employment Development Department						
	Frequency	1-time (application in process and due on September 27, 2007)						
	Funding Uses	To address additional employment and training needs of the community beyond the capacity of the Rapid Response formula allocated funds that fall within the allowable activities described in Title 20 CFR Sections 665.320 and 667.262.						
11	Grant Name	Dislocated Worker Additional Assistance Project	1,000,000	0	0	0	0	0
	Grantor/Source	State of California, Employment Development Department						
	Frequency	1-time (application in process, no specific due date, anticipate filing October 2007)						
	Funding Uses	To be in response to the occurrence of "dislocation events"—specific employer layoffs or disasters that change the local economic conditions, increasing unemployment above the levels used to calculate the formula dislocated worker allocations, creating a demand for services that exceeds the capacity of existing resources. Additional Assistance funds should supplement and expand the local capability to respond effectively to dislocation events. Ideally, projects should be funded from multiple sources, and Additional Assistance funds should be used to serve more dislocated workers and to achieve a higher quality of services and outcomes. Funds may be used to augment programs and activities being operated by grantees when employment conditions have sufficiently changed to warrant an increase in the area's funding.						
12	Grant Name	National Emergency Grant	1,000,000	0	0	0	0	0
	Grantor/Source	U.S. Department of Labor, Employment and Training Administration						
	Frequency	1-time (application in process, no specific due date, anticipate filing October 2007)						
	Funding Uses	To flow from an analysis of the need for reemployment assistance generated by an eligible event for NEG funding, taking into account funds available in the State and local areas to respond to the need.						

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements							
Applications Pending (1)	Total Award (2)	Current Quarter (3)	Current Fiscal Year To Date (4)	Prior Fiscal Years (5)	Award Balance Pending Receipt (6)	Amounts not Awarded (7)	

HOUSING & COMMUNITY SERVICES (Agency 012) (Continued)

13	Grant Name	Community-Based Job Training Grant	TBD	0	0	0	0	0
	Grantor/Source	Department of Labor, Employment and Training Administration						
	Frequency	1-time (application in process and due on October 10, 2007)						
	Funding Uses	To support workforce training for high-growth/high-demand industries through the national system of community and technical colleges. The primary purposes of these grants are to build the capacity of community colleges to provide training and to train workers to develop the skills required to succeed in local or regional (i) industries and occupations that are expected to experience high-growth and (ii) industries where demand for qualified workers is outstripping the supply.						
TOTALS: HOUSING & COMMUNITY SERVICES (012)			\$5,948,180	\$675,747	\$229,986	\$229,986	\$300,000	\$145,761
GRAND TOTAL: PROGRAM II - COMMUNITY SERVICES			\$6,148,180	\$88,195,081	\$931,861	\$931,861	\$5,390,381	\$81,872,839

PROGRAM III - INFRASTRUCTURE & ENVIRONMENTAL RESOURCES

DANA POINT HARBOR DEPARTMENT (Fund 108)

Contact Name & Phone Number: Louis McClure (949) 923-2205
 Number of FTE's responsible for grant writing: No specific staff assigned to grant writing
 Annual staff and any services & supplies costs related to the grant function: To be determined
 Specify any special services/tools/resources used to track available grants: eCivis, contacts from other Counties and State agencies

1	Grant Name	Shelter Plus Care Program	\$0	\$2,541,000	\$937,019	\$937,019	\$364,641	\$1,239,340
	Grantor/Source	U.S. Department of Housing & Urban Development						
	Frequency	Five-year Funding						
	Funding Uses	Provides rental assistance for homeless persons with disabilities.						
TOTALS: DANA POINT HARBOR DEPARTMENT (108)			\$0	\$2,541,000	\$937,019	\$937,019	\$364,641	\$1,239,340

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements							
Applications	Current	Current Fiscal	Prior Fiscal	Award Balance	Amounts		
Pending (1)	Quarter (3)	Year To Date (4)	Years (5)	Pending Receipt (6)	not		
Total Award (2)					Awarded (7)		

ORANGE COUNTY PUBLIC LIBRARY (Fund 120)

Contact Name & Phone Number: Steve Siemion 566-3027
Number of FTE's responsible for grant writing: 0 (assigned to various affected functions)
Annual staff and any services & supplies costs related to the grant function: \$2,382
Specify any special services/tools/resources used to track available grants:

1	Grant Name	California of the Past: Pilot Digital Story Station Program Grant	\$7,000	\$0	\$0	\$0	\$0	\$0
	Grantor/Source	California State Library						
	Frequency	One-Time						
	Funding Uses	Recording memories of local community or California history by library patrons.						
2	Grant Name	California Library Literacy & English Acquisition Services Program (CLLS) 2007-08	101,057	0	0	0	0	0
	Grantor/Source	California State Library						
	Frequency	Annual						
	Funding Uses	To provide literacy tutoring and resources for enhanced English skills.						
3	Grant Name	English Literacy and Civics Education Grant 2006-07	0	15,000	0	0	11,250	3,750
	Grantor/Source	Education (federal, passed thru Calif. Dept. of Education)						
	Frequency	Annual						
	Funding Uses	To provide literacy tutoring and resources for enhanced English skills.						
4	Grant Name	English Literacy and Civics Education Grant 2007-08	15,000	0	0	0	0	0
	Grantor/Source	Education (federal, passed thru Calif. Dept. of Education)						
	Frequency	Annual						
	Funding Uses	To provide literacy tutoring and resources for enhanced English skills.						
5	Grant Name	LSTA (Library Services and Technology Act) Staff Education Program Fiscal Year 2007/08	0	22,329	0	0	0	22,329
	Grantor/Source	Institute of Museum & Library Services (federal, passed through State Library)						
	Frequency	Annual						
	Funding Uses	To provide tuition reimbursement for library science college classes taken by eligible OCPL employees.						
6	Grant Name	Target Community Giving Program Grant (Westminster Children's Puppet Theater)	0	1,200	0	0	0	1,200
	Grantor/Source	Target Stores						
	Frequency	One-Time						
	Funding Uses	Puppets for Puppet Theater children's events.						

FY 2007-08 1st Quarter Activity by Agency & Grant

		Award Receipts & Reimbursements						
		Applications Pending (1)	Total Award (2)	Current Quarter (3)	Current Fiscal Year To Date (4)	Prior Fiscal Years (5)	Award Balance Pending Receipt (6)	Amounts not Awarded (7)
ORANGE COUNTY PUBLIC LIBRARY (Fund 120) (Continued)								
7	Grant Name Grantor/Source Frequency Funding Uses	2,000	0	0	0	0	0	0
	Target Early Childhood Reading Grant Target Stores One-Time Story time kits (books, children's music CDs, puppets, etc. for system wide children's librarians to use during weekly story times.							
8	Grant Name Grantor/Source Frequency Funding Uses	50,000	0	0	0	0	0	0
	Barbara Bush Foundation Grant Barbara Bush Foundation One-Time Pilot program to increase intergenerational literacy skills with a focus on health care and its related components.							
9	Grant Name Grantor/Source Frequency Funding Uses	12,000	0	0	0	0	0	0
	Big Read National Endowment for the Arts (Arts Midwest) One-Time Coordinated reading, study and discussion of <i>To Kill a Mockingbird</i> .							
10	Grant Name Grantor/Source Frequency Funding Uses	0	25,000	0	0	0	25,000	0
	Library Services and Technology Act (LSTA) Early Learning with Families (ELF) @ Your Library Institute of Museum & Library Services (Federal, pass through State Library) One-Time (Education Program Fiscal Year 2007/08) Parent education program workshops for parents of pre-school children; preparation for school.							
TOTALS: ORANGE COUNTY PUBLIC LIBRARY (Fund 120)		\$187,057	\$63,529	\$0	\$0	\$11,250	\$52,279	\$0

RESEARCH AND DEVELOPMENT MANAGEMENT DEPARTMENT (AGENCY 034 and FUNDS 296, 115, 400, 406)

Contact Name & Phone Number: Greg Lepore 667-8357
 Number of FTE's responsible for grant writing: Up to 5.55 FTE
 Annual staff and any services & supplies costs related to the grant function: \$246,859.00
 Specify any special services/tools/resources used to track available grants: eCivis Grants Locator, Grants.Gov Website, Catalog for Domestic Assistance (CFDA), Private Foundations, State web site - www.oes.ca.gov (Hazard Mitigation)

RDMD/WATERSHED AND COASTAL RESOURCES (AGENCY 034)

1	Grant Name Grantor/Source Frequency Funding Uses	\$0	\$12,500,000	\$1,528,507	\$1,528,507	\$3,804,965	\$7,166,528	\$0
	Proposition 12 State Coastal Conservancy & Wetlands Recovery Project 1-time Upper Newport Bay Ecosystem Restoration Project							

FY 2007-08 1st Quarter Activity by Agency & Grant

		Award Receipts & Reimbursements						
		Applications Pending ⁽¹⁾	Total Award ⁽²⁾	Current Quarter ⁽³⁾	Current Fiscal Year To Date ⁽⁴⁾	Prior Fiscal Years ⁽⁵⁾	Award Balance Pending Receipt ⁽⁶⁾	Amounts not Awarded ⁽⁷⁾

RDMD/WATERSHED AND COASTAL RESOURCES (AGENCY 034) (Continued)

10	Grant Name	National Boating Infrastructure Grant	472,300	0	0	0	0	0
	Grantor/Source	State Department of Boating and Waterways						
	Frequency	1-time						
	Funding Uses	Rebuild Newport Harbor Patrol Guest Docks						
11	Grant Name	Proposition 50, Chapter 8	0	25,000,000	0	0	0	25,000,000
	Grantor/Source	State Water Board & Dept of Water Resources						
	Frequency	1-time						
	Funding Uses	Integrated Regional Water Management Plan for San Juan Hydrologic Unit						
12	Grant Name	Proposition 50	1,000,000	0	0	0	0	0
	Grantor/Source	State Coastal Conservancy & Wetlands Recovery Project						
	Frequency	1-time						
	Funding Uses	Serrano Creek Phase II Ecosystem Restoration Project						
SUBTOTALS: RDMD/WATERSHED AND COASTAL RESOURCES (034)			\$1,472,300	\$42,560,874	\$1,753,881	\$1,753,881	\$5,019,489	\$35,787,504

RDMD/ROAD DIVISION (FUND 115)

1	Grant Name	Measure M - Local Sales Tax: (Combined Transportation Funding Program)	\$0	\$20,949,048	\$576,000	\$576,000	\$5,318,690	\$15,054,358	\$0
	Grantor/Source	OCTA/Local Sales Tax							
	Frequency	Biannual (46 allocations over nine fiscal years beginning in FY 02-03)							
	Funding Uses	Roads, Bridges, Traffic Signals, etc.							
2	Grant Name	TEA-21 & Safe Accountable Flexible Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) Federal grants: (Seismic Retrofit, HBRR, RSTP, AHRP [pavement rehab.], & HES)	0	18,858,377	0	0	142,151	18,716,226	0
	Grantor/Source	CalTrans/Federal Highway Administration (FHWA)							
	Frequency	Annual (15 allocations over six fiscal years beginning FY 04-05)							
	Funding Uses	Roads, Bridges, Pavement, Bikeways							
3	Grant Name	State grants: (State Match, Safe Routes to School (SR2S))	0	345,150	0	0	0	345,150	0
	Grantor/Source	CalTrans/State							
	Frequency	Annual (+/-) (4 allocations over three fiscal years beginning FY 04-05)							
	Funding Uses	Sidewalks, Bikeways, Curb & Gutter, Drainage							
SUBTOTALS: RDMD/ROAD DIVISION (115)			\$0	\$40,152,575	\$576,000	\$576,000	\$5,460,841	\$34,115,734	\$0

FY 2007-08 1st Quarter Activity by Agency & Grant

								Award Receipts & Reimbursements								
								Applications			Current	Current Fiscal	Prior Fiscal	Award Balance	Amounts	
								Pending (1)	Total Award (2)			Quarter (3)	Year To Date (4)	Years (5)	Pending Receipt (6)	not Awarded (7)
RDMD/INTERNAL SERVICES TRANSPORTATION FUND (FUND 296)																
1	Grant Name	MSRC (Mobile Source Air Reduction Pollution Committee)						\$0	\$350,000		\$0	\$0	\$0	\$350,000	\$0	
	Grantor/Source	SCAQMD (South Coast Air Quality Management District)														
	Frequency	1-time														
	Funding Uses	Construction of a Compressed Natural Gas (CNG) Refueling Facility														
2	Grant Name	California Energy Commission						0	150,000		0	0	0	150,000	0	
	Grantor/Source	California Energy Commission														
	Frequency	1-time														
	Funding Uses	Construction of a Compressed Natural Gas (CNG)														
3	Grant Name	SCAQMD (South Coast Air Quality Management District) Unsolicited grant						0	250,000		0	0	0	250,000	0	
	Grantor/Source	SCAQMD (South Coast Air Quality Management District)														
	Frequency	1-time														
	Funding Uses	Construction of a Compressed Natural Gas (CNG) Refueling Facility														
SUBTOTALS: RDMD/INTERNAL SERVICES TRANSPORTATION (296)								\$0	\$750,000		\$0	\$0	\$0	\$750,000	\$0	
RDMD/FLOOD CONTROL DISTRICT (FUND 400)																
1	Grant Name	Hazard Mitigation Grant Program for DR-1577 and DR-1585						\$7,500,000	\$0		\$0	\$0	\$0	\$0	\$0	
	Grantor/Source	Federal Emergency Management Agency (FEMA)														
	Frequency	1-time after constructed														
	Funding Uses	Construction of East Garden Grove Wintersburg Channel (C05) from 2600' d/s Graham St to u/s Graham St														
2	Grant Name	Hazard Mitigation Grant Program for DR-1577 and DR-1585						0	3,122,000		0	0	0	3,122,000	0	
	Grantor/Source	Federal Emergency Management Agency (FEMA)														
	Frequency	1-time after constructed														
	Funding Uses	Construction of Fullerton Creek Channel (A03) from Knott Ave to Western Ave														
3	Grant Name	Flood Protection Corridor Program						5,000,000	0		0	0	0	0	0	
	Grantor/Source	Department of Water Resources														
	Frequency	1-time														
	Funding Uses	Construction of East Garden Grove-Wintersburg Channel (C05) from (northerly levee) tide gates to u/s of oil bridge (Sta 37+00) and from (southerly levee) from tide gates to 2600' d/s of Grayhound.														
4	Grant Name	Flood Protection Corridor Program						5,000,000	0		0	0	0	0	0	
	Grantor/Source	Department of Water Resources														
	Frequency	1-time														
	Funding Uses	Construction of Fullerton Creek Channel (A03) from Knott Ave to Western Ave.														
SUBTOTALS: RDMD/FLOOD CONTROL DISTRICT (400)								\$17,500,000	\$3,122,000		\$0	\$0	\$0	\$3,122,000	\$0	

FY 2007-08 1st Quarter Activity by Agency & Grant

		Award Receipts & Reimbursements						
		Applications	Current	Current Fiscal	Prior Fiscal	Award Balance	Amounts	
		Pending (1)	Quarter (3)	Year To Date (4)	Years (5)	Pending Receipt (6)	not Awarded (7)	
		Total Award (2)						
RDMD/OC Parks (FUND 406)								
1	Grant Name Modjeska Stone Building & Cottage Grantor/Source Save America's Treasures Frequency Annual Funding Uses Rebuild historic stone house	\$250,000	\$0	\$0	\$0	\$0	\$0	
SUBTOTALS: RDMD/OC Parks (406)		\$250,000	\$0	\$0	\$0	\$0	\$0	
TOTALS: RESOURCES AND DEVELOPMENT MANAGEMENT DEPARTMENT (034, 296, 115, 400, 406)		\$19,222,300	\$86,585,449	\$2,329,881	\$2,329,881	\$10,480,330	\$73,775,238	

JOHN WAYNE AIRPORT (Fund 280)

Contact Name & Phone Number: Norman Wohkittel (949) 252-5191
Number of FTE's responsible for grant writing: 5% of an A&E Project Manager for grant administration;
 20% of a Senior Accountant/Auditor I position for grant claims
Annual staff and any services & supplies costs related to the grant function: \$27,776
Specify any special services/tools/resources used to track available grants: The Federal Airport Improvement Program (AIP) manual is the primary directive for applying for grants available from the Federal Aviation Administration (FAA), for reimbursement of costs associated with certain eligible airport improvement (capital project) expenditures. The Federal Register is the authoritative guide for applying for grants available from the Transportation Security Administration (TSA) for reimbursement of certain eligible security-related expenditures.

1	Grant Name AIP Grant #30 Grantor/Source Federal Aviation Admin. (FAA) Frequency Open Funding Uses Baggage Screening Improvements	\$0	\$12,144,051	\$0	\$0	\$9,360,052	\$2,783,999	\$0
2	Grant Name AIP Grant #32 Grantor/Source Federal Aviation Admin. (FAA) Frequency Open Funding Uses Construct Airport Fire Station #33 and Reconstruct Landing Surface (runway) 19R/1L	0	6,944,051	411,147	411,147	3,316,654	3,216,250	0
3	Grant Name AIP Grant #34 Grantor/Source Federal Aviation Admin. (FAA) Frequency Open Funding Uses Construct South Aircraft Remain-Overnight Apron - Design Phase I	0	1,500,000	742,671	742,671	757,329	0	0
4	Grant Name AIP Grant #35 Grantor/Source Federal Aviation Admin. (FAA) Frequency Open Funding Uses Construct South Aircraft Remain-Overnight Apron - Design Phase II	0	12,795,061	1,612,597	1,612,597	0	11,182,464	0

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements							
Applications	Total Award	Current	Current Fiscal	Prior Fiscal	Award Balance	Amounts	
Pending (1)	(2)	Quarter (3)	Year To Date (4)	Years (5)	Pending Receipt (6)	not	Awared (7)

JOHN WAYNE AIRPORT (Fund 280) (Continued)

5	Grant Name	AIP Grant #36	0	7,651,107	0	0	0	7,651,107	0
	Grantor/Source	Federal Aviation Admin. (FAA)							
	Frequency	Open							
	Funding Uses	Construct South Aircraft Remain-Overnight Apron - Design Phase III							
6	Grant Name	TSA Explosives Detection Canine Teams	0	182,500	151,000	151,000	0	31,500	0
	Grantor/Source	Transportation Security Administration (TSA)							
	Frequency	Annual (funding increased from \$112,747 at 4th quarter 06.07)							
	Funding Uses	Security Personnel Assigned to Explosive Detection Canine Program							
7	Grant Name	TSA Law Enforcement Officers (LEOs)	0	1,130,887	43,378	43,378	755,981	331,528	0
	Grantor/Source	Transportation Security Administration (TSA)							
	Frequency	Annual							
	Funding Uses	Security Personnel Assigned to Screening Checkpoints							
8	Grant Name	Caltrans	0	502,182	0	0	52,182	450,000	0
	Grantor/Source	State of California							
	Frequency	Open							
	Funding Uses	Reimbursement of Elevated Roadways Seismic Retrofit							
TOTALS: JOHN WAYNE AIRPORT (280)			\$0	\$42,849,839	\$2,960,793	\$2,960,793	\$14,242,198	\$25,646,848	\$0

INTEGRATED WASTE MANAGEMENT DEPARTMENT (Fund 299 - Government and Community Relations Agency)

Contact Name & Phone Number:

Number of FTE's responsible for grant writing: 1 FTE

Annual staff and any services & supplies costs related to the grant function: \$19,000

Specify any special services/tools/resources used to track available grants:

1	Grant Name	2007/2008 State of California/Department of Conservation for Beverage Container Recycling and Litter Reduction	\$0	\$31,938	\$31,938	\$31,938	\$0	\$0	\$0
	Grantor/Source	DOC/Recycling							
	Frequency	Annual							
	Funding Uses	IWMD plans to use 60% of the DOC funds for "Recycling on the Go" program which includes bin purchasing and servicing provided by the Orange County Conservation Corps. IWMD plans to use the 40% of the grant funds for litter abatement and trash clean ups.							

TOTALS: INTEGRATED WASTE MANAGEMENT DEPARTMENT (299) \$0 \$31,938 \$31,938 \$31,938 \$0 \$0 \$0

GRAND TOTAL: PROGRAM III - INFRASTRUCTURE & ENVIRONMENTAL RESOURCES \$19,409,357 \$132,071,755 \$6,259,631 \$6,259,631 \$25,098,419 \$100,713,705 \$0

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements								
Applications	Current		Current Fiscal	Prior Fiscal	Award Balance	Amounts		
Pending (1)	Total Award (2)	Quarter (3)	Year To Date (4)	Years (5)	Pending Receipt (6)	not		Awared (7)

PROGRAM IV - GENERAL GOVERNMENT

REGISTRAR OF VOTERS (Agency 031)

Contact Name & Phone Number: Kate Gold 567-5107
 Number of FTE's responsible for grant writing: Varies - ROV does not have staff solely assigned to grant writing
 Annual staff and any services & supplies costs related to the grant function: Varies - ROV does not have staff solely assigned to a grant function
 Specify any special services/tools/resources used to track available grants: State and Federal agencies website; contacts and other sources from other Counties

1	Grant Name	Election Assistance for Individuals with Disabilities (EAID)	\$287,936	\$0	\$0	\$0	\$0	\$0	\$0
	Grantor/Source	Help America Vote Act (HAVA)							
	Frequency	1-time							
	Funding Uses	Improve poll site accessibility for individuals with disabilities.							
2	Grant Name	HAVA Section 301 Voting Systems Program. State of California Standard Agreement No. 05GR301030	0	16,782,377	12,121,875	12,121,875	0	4,660,502	0
	Grantor/Source	Help America Vote Act (HAVA)							
	Frequency	1-time (\$998,061 from 4th quarter rolled into total award)							
	Funding Uses	Related voter and poll worker outreach and education; purchase of approved voting systems or DRE voting system components.							
TOTALS: REGISTRAR OF VOTERS (031)			\$287,936	\$16,782,377	\$12,121,875	\$12,121,875	\$0	\$4,660,502	\$0

COUNTY EXECUTIVE OFFICE (Agency 036, Capital Projects)

Contact Name & Phone Number: COUNTY EXECUTIVE OFFICE (Agency 036)
 Number of FTE's responsible for grant writing: Anil Kukreja 834-4146
 Annual staff and any services & supplies costs related to the grant function:
 Specify any special services/tools/resources used to track available grants:

1	Grant Name	Community Development Block Grant (CDBG) CJC Improvements for Weapons Screening Room Project	\$0	\$204,101	\$204,101	\$204,101	\$0	\$0	\$0
	Grantor/Source	HUD through HCS							
	Frequency	1-time							
	Funding Uses	If funded, the CDBG grant award of \$400,000 (minimum request amount) will be used for the evaluation and potential relocation or elimination of the weapons screening points which are currently located at the North/South and East Entrances. The project will enhance the emergency egress from the Central Courthouse for people with disabilities.							
TOTALS: COUNTY EXECUTIVE OFFICE (036)			\$0	\$204,101	\$204,101	\$204,101	\$0	\$0	\$0
GRAND TOTAL: PROGRAM IV- GENERAL GOVERNMENT			\$287,936	\$16,986,478	\$12,325,976	\$12,325,976	\$0	\$4,660,502	\$0
GRAND TOTAL: COUNTY - ALL PROGRAMS			\$27,759,671	\$251,005,432	\$20,015,520	\$20,015,520	\$34,503,477	\$196,469,596	\$310,541

FY 2007-08 1st Quarter Activity by Agency & Grant

Award Receipts & Reimbursements						
Applications Pending ⁽¹⁾	Total Award ⁽²⁾	Current Quarter ⁽³⁾	Current Fiscal Year To Date ⁽⁴⁾	Prior Fiscal Years ⁽⁵⁾	Award Balance Pending Receipt ⁽⁶⁾	Amounts not Awarded ⁽⁷⁾

FOOTNOTES:

- (1) **Applications Pending** -This reports the value of applications for grants currently being written (for which fund sources have been identified) and applications submitted which are still pending award notice from the grantor.
- (2) **Total Award** - This reports the value of grantor approved awards, whether funds are advanced or reimbursed after expenditure claims are submitted.
- (3) **Current Quarter** -This reports the value of cash receipts received in the current quarter for advances on grants and for reimbursements of claims filed.
- (4) **Current Fiscal Year To Date** -This reports the value of cash receipts fiscal year to date, including receipts in the current quarter.
- (5) **Prior Fiscal Years** -This represents the value of cash receipts received in years prior to the current fiscal year.
- (6) **Award Balance Pending Receipt** -This represents the value of the balance of grant awards (total award less payments received and less any amounts that will not be reimbursed {e.g. unspent funds, projects under budget, etc.})
- (7) **Amounts not Awarded** -This represents the value of applications withdrawn, denied or otherwise not approved, plus, any award amounts that will not be reimbursed.